

# Uniform Commercial Code — Financing Statement — Form UCC-1

1. Type form. 2. If space is inadequate continue on additional sheet. 3. Enclose filing fee.  
4. Filings in the Secretary of State's Office must contain *either* the debtor's federal employer identification or social security number.  
When the debtor is a sole proprietor, only the debtor's social security number is authorized to be filed.

Prepaid account number \_\_\_\_\_

1. Debtor (if individual, last name first) and address:

Safe Harbour Exchange Corporation  
544 Columbia Drive  
Lawrence, KS 66049

Debtor (if individual, last name first) and address:

FEIN 48 - 1101027

or SSN

FEIN

or SSN

2. Secured Party and address (there may be more than one):  
(Type information inside gray area)

Roman Investments, L.C.  
1311 Wakarusa Drive, Suite 2200  
Lawrence, Kansas 66049

For filing officer

9014180

FILED  
DOUGLAS COUNTY KANSAS  
1997 JUL 29 PM 3:54  
SUE NEUSTIFTER  
REGISTER OF DEEDS

3. Assignee and address if applicable

4. Mark, if applicable: ☐ Products of collateral are also covered ☐ The debtor is a transmitting utility

5. (a) This financing statement covers the following types (or items) of property: (Describe)

Money, accounts, deposit accounts or other proceeds from the sale of the Secured Party's real property held by or on deposit with the Debtor or Douglas County Title Company, Lawrence, Kansas, pursuant to an Exchange Agreement between the Secured Party, as the Exchangor, and the Debtor, as the Qualified Intermediary, dated July 24, 1997.

(b) If collateral is crops, the above described crops are growing or are to be grown on: (Describe real estate)

(c) If applicable, the above (goods are to be come fixtures on:) (timber is standing on:) (minerals or the like, including oil and gas, or accounts will be financed at the wellhead or minehead of the well or mine located on:) (Legal description of real estate)

Name of record owner: \_\_\_\_\_

6. If filing without debtor signature items a, b, c or d must be marked:

- a. ☐ Collateral already subject to a security interest in another jurisdiction when it was brought into this state, or when the debtor's location is changed to this state.  
b. ☐ Collateral is proceeds of the original collateral in which a security interest was perfected  
c. ☐ Collateral as to which the filing has lapsed.  
d. ☐ The filing covers collateral acquired after a change of name identity or corporate structure of debtor

By: SAFE HARBOUR EXCHANGE CORPORATION

Signature of Debtor

By: ROMAN INVESTMENTS, L.C.

Signature of Secured Party (or assignee)

Signature of Debtor

Signature of Secured Party (or assignee)

Form UCC-1 Form approved by Kansas Secretary of State

Copy Center of Topeka, Inc.  
607 S.E. Quincy  
Topeka, KS 66603