

Uniform Commercial Code — Financing Statement — Form UCC-1

1. Type form. 2. If space is inadequate continue on additional sheet. 3. Enclose filing fee.
4. Filings in the Secretary of State's Office must contain *either* the debtor's federal employer identification or social security number.
When the debtor is a sole proprietor, only the debtor's social security number is authorized to be filed.

Prepaid account number _____

1. Debtor (if individual, last name first) and address:

R. D. JOHNSON EXCAVATING CO.
1705 N. 1399 RD.
LAWRENCE KS 66046
County: DOUGLAS

Debtor (if individual, last name first) and address:

FEIN 48-1234567 or SSN 08/6641

FEIN _____ or SSN _____

2. Secured Party and address (there may be more than one):
(Type information inside gray area)

CATERPILLAR FINANCIAL SERVICES CORPORATION
901 WARRENVILLE ROAD, #304
LISLE IL 60532-1359

For filing officer

9012878

FILED
DOUGLAS COUNTY KANSAS
1996 JUL 19 PM 1:35
SUE NEUSTIFTER
REGISTER OF DEEDS

3. Assignee and address *if applicable*

4. Mark, if applicable: ☐ Products of collateral are also covered ☐ The debtor is a transmitting utility

5. (a) This financing statement covers the following types (or items) of property: (Describe)

(1) New Caterpillar 953C Track Loader, s/n: 2ZN00313 and substitutions, replacements, additions, and accessions thereto, now owned or hereafter acquired, and proceeds thereof. XXX Proceeds of collateral.

(b) *If collateral is crops, the above described crops are growing or are to be grown on:* (Describe real estate)

(c) *If applicable, the above (goods are to be come fixtures on:) (timber is standing on:) (minerals or the like, including oil and gas, or accounts will be financed at the wellhead or minehead of the well or mine located on:) (Legal description of real estate)*

Name of record owner: _____

Douglas Co. KS.

6. If filing without debtor signature items a, b, c or d must be marked:

- a. ☐ Collateral already subject to a security interest in another jurisdiction when it was brought into this state, or when the debtor's location is changed to this state.
b. ☐ Collateral is proceeds of the original collateral in which a security interest was perfected
c. ☐ Collateral as to which the filing has lapsed
d. ☐ The filing covers collateral acquired after a change of name identity or corporate structure of debtor

R. D. JOHNSON EXCAVATING CO.

CATERPILLAR FINANCIAL SERVICES CORPORATION

Signature of Debtor

Signature of Secured Party (or assignee)

Signature of Debtor

Signature of Secured Party (or assignee)

Form UCC-1 Form approved by Kansas Secretary of State

Copy Center of Topeka, Inc.
607 S.E. Quincy
Topeka, KS 66603

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